# Retrieval of atmospheric parameters and data-processing algorithms for AVIRIS-NG Indian campaign data

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Applications of high-spatial resolution imaging spectrometer data acquired from the Airborne Visible/ Infrared Imaging Spectrometer-Next Generation (AVIRIS-NG) under India campaign 2015–16, require a thorough compensation for atmospheric absorption and scattering. The data-processing algorithms used for retrieving critically important atmospheric parameters, namely 'water vapour and aerosol optical depth (AOD)' over land and water surfaces are presented. Over land surfaces, the dark dense vegetation method and radiative transfer modelling are used for deriving spectral AOD for boxes of  $20 \times 20$  pixels. For AOD retrieval over water surfaces, dark-target approximation is used with near-infrared and shortwave infrared measurements. Estimation of precipitable water vapour is carried out using short-wave hyperspectral measurements for each pixel. A differential absorption technique (continuum interpolated band ratio) has been used for this purpose. The retrieved AOD and water vapour values were compared with in situ sun-photometer and radiosonde data respectively, indicating good matches. Further, these parameters were used to derive 'atmospherically corrected surface reflectance and remote sensing reflectance', for land and water surface respectively, assuming horizontal surfaces having Lambertian reflectance.

**Keywords:** Aerosol, atmospheric correction, hyperspectral imaging, surface reflectance, water vapour.

#### Introduction

THE Next-Generation Airborne Visible/InfraRed Imaging Spectrometer (AVIRIS-NG) provides measurements in the wavelength range from 0.38  $\mu$ m to 2.5  $\mu$ m with spectral sampling of approximately 5 nm and with high spatial sampling depending on the altitude of the airborne sensor<sup>1–3</sup>. AVIRIS-NG and other hyperspectral imaging

sensors acquire data in many adjacent spectral channels, such that for each pixel a complete reflectance spectrum can be derived from the wavelength region covered. The solar radiation incident on the surface and scattered from the surface to the sensor gets modulated due to absorption and scattering by the atmosphere. Almost half of the 0.4-2.5 µm spectral region is affected by atmospheric gas absorption and the shorter wavelength region below 1.0 µm is significantly affected by molecular and aerosol scattering. There is now growing interest in using hyperspectral remote sensing for research and applications in a variety of fields, including geology, agriculture, forestry, ecology, coastal and inland water studies, environment hazards assessment and urban studies. In order to study surface properties using AVIRIS-NG data, accurate removal of atmospheric effects is required. The correction of atmospheric effects and conversion of radiances measured by the sensor to reflectance of surface materials are necessary. Since the mid-1980s, atmospheric correction algorithms have evolved from the earlier empirical line method and flat-field method to more recent ones based on rigorous radiative transfer modelling such as ATmospheric REMoval (ATREM) algorithm<sup>4</sup> and Fast Line-ofsight Atmospheric Analysis of Spectral Hypercubes (FLAASH)<sup>5</sup>. Due to high temporal and spatial dynamicity of aerosol and water vapour, the most difficult task in atmospheric correction of any hyperspectral or multispectral remote sensing data is to derive accurate aerosol optical depth (AOD) and water vapour content. Atmospheric correction models such as ATREM and FLAASH retrieve water vapour for each pixel of the scene before atmospheric correction; however, they assume a single value of AOD for the entire scene. In reality over the Indian region, the assumption of a single AOD value for atmospheric correction of the whole scene does not always hold due to the heterogeneous nature of anthropogenic aerosol sources and high aerosol loading. Unlike over water surfaces where AOD can be easily retrieved for each pixel by approximating the water surface as dark in near-infrared wavelengths due to absorption, aerosol

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retrieval methods over land are necessarily different and more complex due to high reflectivity of the land surface in the entire spectrum from 0.4 to 2.5  $\mu$ m. Apart from the requirement of accurate aerosol and water vapour information for atmospheric correction, these parameters are also useful for many applications such as aerosol and water vapour radiative forcing, Earth's radiation budget, etc.

Atmospheric carbon dioxide (CO<sub>2</sub>), is another significant greenhouse gas which is increasing at a rapid rate<sup>6,7</sup> and CO<sub>2</sub> monitoring is done with ground-based and satellite-borne spectroscopic sensors<sup>8-11</sup>. High spatial resolution airborne sensing has been applied for the validation of satellite measurements<sup>12</sup> and detection of point sources of trace gas and man-made emissions<sup>13-15</sup>. Moreover, spatial and temporal variability of atmospheric CO<sub>2</sub> modulates the sensor-level signal and therefore retrieval of CO<sub>2</sub> is also important for accurate atmospheric correction, especially in shortwave-infrared (SWIR) region ranging from 1.93 to 2.12 µm. However, in the present atmospheric correction algorithm, the standard value of atmospheric CO<sub>2</sub> gas concentration for tropical atmosphere is used. In this article, data processing algorithms for (a) retrieval of AOD, (b) retrieval of water vapour and (c) atmospheric correction to generate AVIRIS-NG Level-2 (L2) products are described. Finally, the effect of atmospheric correction on reflectance spectra is discussed.

#### Study area and data used

The AVIRIS-NG level-1 (L1) radiance data over India in the regions listed in Table 1 were acquired across a range of dates in late 2015 and early 2016. Muddur is a town in Mandya district, Karnataka, India having an average elevation of 662 m above mean surface level. The region was covered by eight flight paths of AVIRIS-NG over mixed agriculture land cover. The data have potential use for development of vegetation monitoring techniques. Ambaji is a town in Banaskantha district, Gujarat, India, at an altitude of 480 m amsl. It is known for its historical and mythological connections with sites of cultural heritage. The AVIRIS-NG flights over Ambaji mostly covered regions of geological interest. These data can be used to examine the unique properties of hyperspectral data and how spectral information can be used to identify mineralogy. The AVIRIS-NG flights over Bhukia mostly covered regions of mineralogical interest. Primary gold occurs with sulphides in Palaeoproterozoic Aravalli rocks in the Bhukia area. Chilika is the largest brackish water lagoon in the Asian continent and is a very good site for studying optically complex waters from space-borne platforms. Chilika is connected by sea through a mouth in the northern sector and by many tributaries in the northern, central and southern sectors. Howrah, Ahmedabad and Hyderabad are urban sites. Over these sites the data could be used for studies on air quality, urban development and water resources. The Sholyar site is mainly covered by forests.

The AVIRIS-NG L1 data consist of calibrated atsensor radiance in 425 spectral channels, sun-sensor geometry, elevation and geo-location data. The *in situ* data (sun-photometer data, land surface reflectance data from a field spectroradiometer (FieldSpec-4, Malvern Panalytical/ASD), water-leaving reflectance (the normalized water-leaving radiance) from a radiometer and solar irradiance data from a remote cosine receptor (Malvern Panalytical/ASD)) collected during, before and after the airborne campaign were used for validation and analysis.

#### Atmospheric correction over land

The upward reflectance (normalized solar radiance) viewed at *z* altitude by an airborne sensor (such as AVIRIS-NG) for a Lambertian surface can be approximated as follows<sup>16-19</sup>

$$\rho_{\lambda}^{*}(\theta_{o},\theta,\varphi,z) = T^{\text{gas}}(\theta_{o},\theta,\varphi,z)$$
$$\times \left[\rho_{\lambda}^{\text{atm}}(\theta_{o},\theta,\varphi,z) + \frac{T(\theta_{o})T(\theta,z)\rho_{\lambda}^{s}(\theta_{o},\theta,\varphi)}{1 - S_{\lambda}\rho_{\lambda}^{S}(\theta_{o},\theta,\varphi)}\right]. (1)$$

Here  $\rho_{\lambda}^{*}(\theta_{o}, \theta, \varphi, z) = \pi L_{\lambda}^{*}(\theta_{o}, \theta, \varphi, z)/E_{S,\lambda} \cos(\theta_{o})$ , where  $L_{\lambda}^{*}(\theta_{o}, \theta, \varphi, z)$  is at-sensor radiance, and  $E_{S,\lambda}$  is the solar flux at the top of the atmosphere (TOA). The terms on the right-hand side (RHS) of eq. (1) are the surface reflectance  $(\rho_{\lambda}^{s}(\theta_{o}, \theta, \varphi))$  and the atmospheric quantities related to the radiative field in the coupled system. The at-sensor radiance, like all of the radiative quantities in eq. (1), depends on the radiation line-sight expressed by the angles  $\theta_{o}$ ,  $\theta$ ,  $\varphi$  and z, i.e. the solar zenith (with respect to nadir), relative quantities in eq. (1) are the intrinsic reflectance of the molecule and aerosol layer,  $\rho_{\lambda}^{atm}(\theta_{o}, \theta, \varphi, z)$ , known as

Table 1. Summary of AVIRIS-NG data used in the present study

Flight date	Site	Latitude/longitude	Site type
10/01/2016	Muddur	11.79°N/76.64°E	Agriculture
02/02/2016	Ambaji	24.35°N/72.85°E	Geology
03/02/2016	Bhukia	23.64°N/74.26°E	Geology
27/12/2015	Chilika	19.61°N/85.38°E	Lagoon
27/02/2016	Howrah	22 <sup>.</sup> 63°N/88.31°E	Urban
03/03/2016	Howrah	22.64°N/88.37°E	Urban
03/03/2016	Howrah	22.62°N/88.38°E	Urban
14/02/2016	Ahmedabad	23.02°N/72.43°E	Urban
11/02/2016	Ahmedabad	22.97°N/72.40°E	Urban
19/12/2015	Hyderabad	17.53°N/78.33°E	Urban
19/12/2015	Hyderabad	17.49°N/78.2°E	Urban
07/01/2016	Sholayar	10.53°N/76.66°E	Forestry

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Figure 1. Block diagram for atmospheric correction of AVIRIS-NG data to generate L2 product.

path reflectance; the spherical albedo,  $S_{\lambda}$  and the components of flux transmission.  $T^{\text{gas}}(\theta_{o}, \theta, \varphi, z)$  is the gaseous transmittance, whereas  $T(\theta_{o}) = \exp(-\tau/\cos(\theta_{o}) + t_{d}(\theta_{o}))$  and  $T(\theta) = \exp(-\tau/\cos(\theta) + t_{d}(\theta))$  are the summed direct and diffuse components respectively, of the total transmittance for the illumination (descending) and view (ascending) directions.  $\tau$  is the total optical thickness and  $\tau_{z}$  is the optical thickness of the layer under the aircraft. Figure 1 shows the steps involved in atmospheric correction.

The objective of atmospheric correction is to derive surface reflectance  $\rho_{\lambda}^{s}(\theta_{o}, \theta, \varphi)$  from at-sensor radiance  $L_{\lambda}^{*}(\theta_{o}, \theta, \varphi, z)$ . For this, all quantities on the RHS of eq. (1), except  $\rho_{\lambda}^{s}(\theta_{o}, \theta, \varphi)$  must be known. Among these, estimation of  $\rho_{\lambda}^{atm}(\theta_{o}, \theta, \varphi, z)$  and  $T^{gas}(\theta_{o}, \theta, \varphi, z)$  is the most difficult. The former quantity depends on AOD, while the latter depends on atmospheric gases (specially water vapour). Once the values of AOD and water vapour are known, these can be used as input to run a radiative transfer code<sup>19</sup> or MODTRAN to estimate all the other quantities, except  $\rho_{\lambda}^{s}(\theta_{o}, \theta, \varphi)$  in eq. (1), to complete the task of atmospheric correction. Therefore, the main problem in atmospheric correction is to derive accurate values of AOD and water vapour.

#### Aerosol retrieval and validation over land

Quantitative analysis of AOD can be performed by applying radiative transfer theory in the atmosphere–earth coupled system, for the atmospheric correction of hyperspectral remote sensing data. Here we have described a physically based algorithm to retrieve AOD at 0.55  $\mu$ m from airborne acquired data in the visible and SWIR range. The physical basis of the algorithm is taken from Kaufman and co-workers<sup>20–22</sup>, which is currently used for AOD retrieval from MODIS data over land and is modified in accordance with airborne AVIRIS-NG data. The other aerosol retrieval algorithms such as enhanced deepblue algorithm<sup>23</sup> and clear-composite algorithm<sup>24</sup> could not be used here due the requirement of static surface reflectance database and time-series observations from a geostationary platform respectively. AOD retrieval algorithm relies on the correlation between surface reflectance in the visible and SWIR (2.1 µm) spectral domains<sup>22</sup>. For retrieval of AOD at the visible wavelength around 0.55 µm from AVIRIS-NG data, the assumptions made are: (a) aerosols are transparent in the 2.1 µm channel; (b) the surface reflectance in the visible channels 0.47 and 0.66 µm is a function of the surface reflectance at 2.1 µm, the scattering angle and the normalized vegetation difference index (NDVI) based on 1.24 and 2.1 µm given by NDVI<sub>SWIR</sub> =  $(\rho_{1.24} - \rho_{2.1})/(\rho_{1.24} + \rho_{2.1})$ .

#### Visible/SWIR surface reflectance relationship

Similar to the MODIS AOD algorithm, we used the relationship between the visible and SWIR channel surface reflectance given by

$$\rho_{0.66}^s = f(\rho_{2.1}^s) = \rho_{2.1}^s S_{0.66/2.1} + C_{0.66/2.1}, \tag{2}$$

$$\rho_{0.47}^{s} = g(\rho_{0.66}^{s}) = \rho_{0.66}^{s} S_{0.47/0.66} + C_{0.47/0.66}, \qquad (3)$$

where *S* and *C* are slope and intercept respectively, given by

$$\begin{split} S_{0.66/2.1} &= \rho_{0.66/2.1}^{\text{NDVI}_{\text{SWIR}}} + 0.002 \Phi - 0.27, \\ C_{0.66/2.12} &= -0.00025 \Phi + 0.033, \\ S_{0.47/0.66} &= 0.49; \ C_{0.47/0.66} &= 0.005, \\ \rho_{0.66/2.1}^{\text{NDVI}_{\text{SWIR}}} &= 0.4 \text{ for NDVI}_{\text{SWIR}} < 0.25, \\ \rho_{0.66/2.1}^{\text{NDVI}_{\text{SWIR}}} &= 0.6 \text{ for NDVI}_{\text{SWIR}} > 0.75, \\ \rho_{0.66/2.1}^{\text{NDVI}_{\text{SWIR}}} &= 0.4 + 0.4(\text{NDVI}_{\text{SWIR}} - 0.25) \\ \text{ for } 0.25 \le \text{NDVI}_{\text{SWIR}} \le 0.75, \end{split}$$

and scattering angle  $\Phi$  is given by  $\Phi = \cos^{-1} \times [\cos(\theta_0)\cos(\theta) + \sin(\theta_0)\sin(\theta)\cos(\theta)].$ 



**Figure 2.** *a*, Scatter plot between *in-situ* surface reflectance at 0.66  $\mu$ m and at 2.1  $\mu$ m. Forty data points corresponding to densely vegetated and less vegetated land covers have been carefully selected based on NDVI<sub>SWIR</sub> values. *b*, Scatter plot and linear fitted line between estimated and *in-situ* surface reflectance in 0.66  $\mu$ m channel using optimized visible/SWIR surface reflectance relationship in eq. (2) (red points and red line) and MODIS visible/SWIR surface reflectance relationship (blue points and blue line).



**Figure 3.** Simulated at-sensor reflectance at 0.55  $\mu$ m for different values of solar zenith angle ( $\theta_0$ ) and surface reflectance assuming the sensor at nadir. For radiative transfer simulations, sensor altitude of 4 km and continental aerosol model are assumed.

It is to be noted that the visible/SWIR surface reflectance relationship described in eqs (2) and (3) are slightly different from those used in the MODIS AOD algorithm<sup>20-22</sup>. Actually, the visible/SWIR surface reflectance relationship is optimized for the Indian region using the *in situ* surface reflectance data measured during the AVIRIS-NG flights. These *in situ* data are carefully selected based on known land-cover type and NDVI<sub>SWIR</sub> values. Figure 2*a* shows the high correlation between *insitu* surface reflectance in 0.66 and 2.1 µm. Figure 2*b* shows the scatter plot for estimated surface reflectance at 0.66 µm using surface reflectance in 2.1 µm channel. It is clear that the estimated reflectance is less scattered with respect to actual values of surface reflectance when optimized visible/SWIR surface reflectance relationship is used. Also, the slope of the linear fitted line in this case (red dotted line) is more closer to 1 : 1-line compared to that when the MODIS algorithm is used. The slope, intercept, correlation coefficient ( $r^2$ ) and RMSE for the present case are 1.016, -0.011, 0.9733 and 0.0064 respectively. On the other hand, when MODIS visible/SWIR surface reflectance relationship is used, the slope, intercept,



**Figure 4.** *a*, Aerosol optical depth (AOD) maps for AVIRIS-NG data acquired on 2 February 2016 over the Ambaji region. *b*, Histogram of AOD for all four flight lines. Mean AOD is around 0.35 and most of the AOD values lie in the range 0.3–0.4.

correlation coefficient  $(r^2)$  and RMSE are 1.22, -0.0193, 0.97 and 0.0074 respectively. Thus the visible/ SWIR surface reflectance relationship described in eqs (2) and (3) shows lower RMSE, intercept closer to zero, slope close to unity and high correlation relative to MODIS visible/SWIR surface reflectance relationship. Hence, we used eqs (2) and (3) for the estimation of surface reflectance in 0.66  $\mu$ m channel.

#### Aerosol look-up table

The look-up table (LUT) contains pre-computed optical properties (path reflectance, spherical albedo and upward/ downward transmittance) of aerosol at four discrete wavelengths (0.47, 0.55, 0.66 and 2.1 µm) for several values of aerosol total loadings, and for a variety of geometries (solar zenith, sensor zenith and relative azimuth angles). For discrete AODs ( $\tau_{0.55} = 0.0, 0.5, 1.0, 2.0, \text{ etc.}$ ), assuming a continental aerosol model pre-computed LUTs were generated. Scattering/extinction properties of aerosol size distributions were determined by the radiative transfer code of Vermote et al.<sup>19</sup>. Assuming the Rayleigh atmosphere and realistic layering of aerosols, a radiative transfer calculation was used to simulate at-sensor reflectance. In addition, atmospheric properties vary by sun/sensor geometry; so at discrete values of solar and observation angles, there are determined properties of TOA reflectance, atmospheric transmission and spherical albedo for a particular indexed AOD. Figure 3 shows simulated TOA reflectance for a dark surface and a surface with surface reflectance of 0.1, as a function of AOD for different solar zenith angles and a nadir-viewing sensor. It is to be noted that for high AOD loading and surface reflectance values, the TOA signal becomes less sensitive to AOD; therefore, AOD retrieval for high aerosol loading and over bright targets is not possible. For this reason, AOD is retrieved at binned spatial resolution of  $20 \times 20$  pixels to have sufficient number of dark vegetated pixels in the box.

#### AOD retrieval

Using eqs (2) and (3), and Rayleigh-corrected SWIR channel measurement, the surface reflectance in 0.66  $\mu$ m was estimated for averaged non-cloudy vegetated pixel in 20 × 20 pixel box, which was then used to estimate the sensor-level reflectance for different aerosol loadings with the help of pre-computed LUTs. The AOD value for which there was minimum error between the estimated and measured sensor-level reflectance was considered as the solution.

Figure 4*a* and *b* shows the AOD maps and normalized histogram of derived AOD over Ambaji. The mean AOD was found to be 0.35. In Ambaji region, AOD varies from

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0.25 to 0.45; therefore, use of mean AOD value in whole scene for correction can introduce significant error in derived surface reflectance. Figure 5 a-c shows a true colour image, AOD mosaic and normalized histogram of derived AOD over Bhukia respectively. In the Bhukia region mean AOD was around 0.19 and most of the AOD values were in the range 0.1-0.2, except for the ovalshaped region represented by red dots. Thus most of region showed clear atmospheric conditions with an exceptionally high AOD plume in lower part. It was found that the oval-shaped region encompasses a cement factory (MAHI Cement Factory, Figure 5d) which is responsible for the large suspension of fine aerosol particles in the atmosphere. Table 2 shows the in situ and derived AOD values at 13 locations in Muddur, Karnataka, while Figure 6 shows the scatter plot. The linear correlation coefficient and root mean square error were 0.71 and 0.024 respectively.



Figure 5. (a) True colour mosaic, (b) AOD mosaic and (c) AOD histogram for data acquired on 2 February 2016 over Bhukia region. Mean AOD is around 0.19 and most of the AOD values (except for the oval-shaped region represented by red dots) lie in the range 0.1-0.2. The region covered mostly shows clear atmospheric condition with an exceptionally high AOD plume in lower part. The oval-shaped region represented by red dots was found to encompass a cement factory, which was responsible for the continuous suspension of fine aerosol particles in the atmosphere in this region. (d) Google Earth image showing haziness in the region around the cement factory.

## Retrieval and validation of precipitable water vapour over land

The continuum interpolated band ratio (CIBR) differential absorption technique was used to estimate water vapour from AVIRIS-NG radiances<sup>25</sup>. This method characterizes an absorption feature in the presence of an absorption continuum. Fowle<sup>26</sup> demonstrated the use of near-infrared absorption bands for the estimation of water vapour. Later, Frouin et al.27 proposed a differential absorption technique where two channels centred on the maximum water vapour absorption band near 940 nm were used for column water vapour retrieval. The ratio of the radiance in the two channels gives an estimate of the water vapour present in the atmosphere. They used a spectral band in the water vapour absorption region and two other channels in the nearby non-water vapour absorption regions. Basically, the channels used were 940 nm water absorption band, 867 nm and 1009 nm nonwater absorption bands. The present study also uses this approach.

Initially, we chose one measurement channel and two reference channels. The radiances from these channels were extracted for a selected AVIRIS scene. This was done for a certain pixel in the scene. After extraction of radiances, the ratio was calculated as follows

$$R_{\text{CIBR}} = \frac{L_{\text{m}}}{w_{\text{rl}}L_{\text{rl}} + w_{\text{r2}}L_{\text{r2}}},\tag{4}$$

where  $L_m$ ,  $L_{r1}$  and  $L_{r2}$  are radiances in the measurement and reference channels respectively.



Figure 6. Scatter plot of *in situ* (sun-photometer) and AVIRIS-NG-derived AOD values.

$$w_{\rm rl} = \frac{\lambda_{\rm r2} - \lambda_{\rm m}}{\lambda_{\rm r2} - \lambda_{\rm rl}},\tag{5}$$

$$w_{r2} = \frac{\lambda_m - \lambda_{r1}}{\lambda_{r2} - \lambda_{r1}},\tag{6}$$

where  $\lambda_m$ ,  $\lambda_{r1}$  and  $\lambda_{r2}$  correspond to the measurement and reference channels respectively. This ratio is related to the water vapour content (*W*) by the following relation

$$R_{\rm CIBR} = (-\alpha W^{\beta}),\tag{7}$$

where  $\alpha$  and  $\beta$  are coefficients. Finally, the total path water vapour content ( $V_p$ ) was derived for which the total column water vapour content was first calculated as follows

$$W = V_p \left(\frac{1}{\cos\theta_0} + \frac{1}{\cos\theta}\right)^{-1},\tag{8}$$

$$V_{\rm p} = \begin{cases} \left(\frac{-\ln R_{\rm CIBR}}{0.592}\right)^{1/0.568} & \text{non-vegetation} \\ \left(\frac{-\ln R_{\rm CIBR}}{0.599}\right)^{1/0.575} & \text{vegetation}. \end{cases}$$
(9)

The relation between  $V_p$  and  $R_{\text{CIBR}}$ , i.e. the coefficients  $\alpha$  and  $\beta$  were obtained using MODTRAN simulations for different atmospheric and surface conditions<sup>28</sup>. We demonstrate the retrieval of water vapour content for a scene over Howrah on 19 December 2015 using CIBR technique (Figure 7). Further, to validate the retrieval, we have used the Wyoming sounding data. Wyoming weather web provides *in situ* precipitable water vapour for several

Table 2. The *in-situ* (sun-photometer) and retrieved AOD values inthe region covered by AVIRIS data over Muddur, Karnataka on 10January 2016

Latitude	Longitude	AVIRIS-NG AOD (550 nm)	Sun-photometer AOD (550 nm)
11.762	76.578	0.27	0.282
11.761	76.585	0.24	0.314
11.761	76.587	0.26	0.316
11.756	76.605	0.31	0.369
11.778	76.627	0.29	0.360
11.796	76.630	0.30	0.365
11.793	76.643	0.36	0.366
11.858	76.666	0.30	0.316
11.884	76.660	0.29	0.329
11.944	76.652	0.29	0.286
11.966	76.666	0.26	0.308
11.774	76.568	0.32	0.390
11.761	76.586	0.33	0.410

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Indian stations. The point for comparison was selected in close proximity to the Wyoming station coordinates so that there was less uncertainty in the retrieval. Due to this we found only a few match-up locations. Stations such as Ahmedabad, Kolkata, Hyderabad and Cochin have been considered here. Table 3 provides details regarding the



Figure 7. (a) RGB image of a scene over Howrah and (b) water vapour retrieved using CIBR technique.



Figure 8. Scatter of water vapour obtained through *in-situ* and CIBR technique.

Date	Site	Wyoming latitude/ longitude/elevation (m)	AVIRIS-NG latitude/longitude
27/02/2016	Howrah	22.65°N/88.45°E/6.0	22.63°N/88.31°E
14/02/2016	Ahmedabad	23.06°N/72.63°E/55.0	23.02°N/72.43°E
03/03/2016	Howrah	22.65°N/88.45°E/6.0	22.64°N/88.37°E
03/03/2016	Howrah	22.65°N/88.45°E/6.0	22.62°N/88.38°E
11/02/2016	Ahmedabad	23.65°N/72.45°E/55.0	22.97°N/72.40°E
19/12/2015	Hyderabad	17.45°N/78.46°E/545.0	17.53°N/78.33°E
19/12/2015	Hyderabad	17.45°N/78.46°E/545.0	17.49°N/78.2°E
07/01/2016	Sholayar	9.95°N/76.26°E/3.0	10.53°N/76.66°E

 Table 3.
 List of AVIRIS-NG sites with cloudy scenes along with coordinates. The coordinates of Wyoming location are also listed

selected AVIRIS-NG scenes and Wyoming stations. Figure 8 is a scatter plot showing that the retrieved values are correlated with the sounding values. Only a few points could be compared on point-to-point basis due to the mismatch of AVIRIS-NG and Wyoming locations. Some points were very poorly correlated with *in situ* data due to the distance between the chosen AVIRIS pixels and Wyoming coordinates. This was the case of a scene over Sholayar, where poor correlation could be seen between the retrieved and *in-situ* values due to greater distance of around 78 km between AVIRIS-NG scene and Wyoming location. For other points a good correlation could be seen. It can be clearly seen from Figure 8 that CIBR slightly underestimates the retrieved water vapour content for low reflectance surfaces.

### Atmospherically corrected surface reflectance over land

The retrieved AOD at resolution of  $20 \times 20$  pixels can be given to any radiative transfer code to estimate the aerosol-dependent radiative quantities, path reflectance  $\rho_{\lambda}^{\text{atm}}(\tau, \theta_0, \theta, \phi, z)$ , spherical albedo  $S_{\lambda}(\tau)$ , downward transmittance  $T(\theta_0)$  and upward transmittance  $T(\theta)$ . The retrieved water vapour and standard values of uniformly mixed gases such as CO<sub>2</sub>, O<sub>2</sub>, CH<sub>4</sub> could be used for simulating gaseous transmittance  $T^{gas}(\theta_0, \theta, \phi, z)$ . In the present study, we have used 6S code to simulate these radiative quantities<sup>19</sup>. Having all these unknowns on the RHS of eq. (1) and putting sensor measured reflectance on the left-hand side of the equation, the solution for surface reflectance can be obtained. Figure 9a and b, shows sensor-level apparent reflectance and atmospherically corrected surface reflectance true colour images respectively. It is clearly evident that after atmospheric correction, the haze due to aerosol scattering in Figure 9 a gets cleared in Figure 9 b. This happens due to the use of variable AOD over the scene instead of using a single AOD value for the whole scene. Figure 9c and d shows sensor-level apparent reflectance and atmospherically corrected surface reflectance spectrum for different features respectively. Figure 9 c shows that almost half of the spectrum is contaminated by water vapour absorption with strong and broad absorption features around 0.82, 0.94, 1.14 µm and numerous narrow absorption features at various wavelengths in the SWIR region ( $2.0-2.5 \mu m$ ). It is clear from Figure 9d that after atmospheric correction, the features due to water vapour absorption are faithfully removed. The dips in apparent reflectance due to other trace gases such as oxygen (near 0.76 µm) and carbon dioxide (1.9-2.12 µm) are also corrected in the figure. From Figure 9 c it is clear that due to aerosol scattering, the visible-NIR spectrum is modulated, where increased reflectance values are observed due to which the shape of the spectrum is deviated from that of the feature spectrum. For example, for vegetation target, the black and red curves in Figure 9c show continuous increase in reflectance as we move from red to blue wavelength due to aerosol scattering. However, after atmospheric correction it is seen that the spectrum shape of the vegetated targets (black and pink curves in Figure 9 d) is faithfully recovered and is similar to the pure vegetation spectrum.

#### Atmospheric correction over water surface

Radiance at the sensor level can be modelled using molecular and particle scattering based on existing mathematical models and gaseous absorption in the atmosphere, provided solar and satellite-viewing geometry is known. Path radiance due to Rayleigh scattering  $(L_{r,\lambda})$  computed for single scattering approximation can be expressed as<sup>29</sup>

$$L_{\mathbf{r},\lambda} = \tau_{\mathbf{r},\lambda} E'_{\mathbf{s},\lambda} p_{\mathbf{r}}(\theta_o, \theta, \lambda) / 4\pi, \tag{10}$$

where

$$p_{\rm r}(\theta_{\rm o},\theta,\lambda) = \frac{\{P_{\rm r}(\gamma^-,\lambda) + [r_{\theta_{\rm o}} + r_{\theta}]P_{\rm r}(\gamma^+,\lambda)\}}{\cos\theta},\qquad(11)$$

$$\cos(\gamma^{\pm}) = \pm \cos \theta_{0} \cos \theta - \sin \theta_{0} \sin \theta \cos \varphi, \qquad (12)$$

where  $r_{\theta}$  is the Fresnel reflectance at the air-water boundary for light incident at an angle  $\theta$  and  $\tau_{r,\lambda}$  is the Rayleigh scattering optical depth.  $P_r(\gamma^{\pm})$  is the Rayleigh scattering phase function, which is calculated as

$$P_{\rm r}(\gamma^{\pm}) = (3/4)[1 + \cos^2(\gamma^{\pm})]. \tag{13}$$

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(a) Sensor Level Reflectance (AVIRIS-NG Level-1 Product)



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Surface Reflectance

(AVIRIS-NG Level-2 Product)



Figure 9. (a, b) Natural colour composite of sensor-level reflectance and atmospherically corrected surface reflectance respectively. (c, d)Spectrum of sensor-level reflectance and atmospherically corrected surface reflectance respectively, for various types of targets (vegetation and bare soil pixels).

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 $E'_{s,\lambda}$  is the extraterrestrial solar irradiance  $(E_{s,\lambda})$  corrected for its absorption at the ozone layer, and is expressed as follows

$$E'_{s,\lambda} = E_{s,\lambda} \exp[\tau_{oz,\lambda} \{1/\cos\theta_0 + 1/\cos\theta\}].$$
(14)

Secondly, different types of aerosols, indicating AOD  $\tau_a$  to be wavelength-dependent can be modelled by the following power law

$$L_{a,\lambda}E'_{s,\lambda} = \beta(\lambda)^{-\alpha},\tag{15}$$

where  $\alpha$  is the Angstrom exponent,  $L_{a,\lambda}$  the path radiance due to aerosol and  $\beta$  is a constant. The above equation can be solved to retrieve  $\tau_a$  using two bands, say 1.00 and 1.55 µm. In this way, AOD from the data was retrieved on a pixel-to-pixel basis. Atmospheric transmittance *t* (sun to sea surface to sensor) can be estimated using the equation

$$t = \exp[-(\tau_{a,\lambda} + \tau_{r,\lambda})\{1/\cos\theta_0 + 1/\cos\theta\}].$$
 (16)

Under single-scattering approximation, the radiance measured at the sensor level  $(L_t)$  is a linear combination of atmospheric path radiance  $(L_a + L_r)$  and water-leaving radiance  $L_w$ , expressed as<sup>30</sup>

$$L_{\rm t} = L_{\rm a} + L_{\rm r} + t_{\rm u} L_{\rm w},\tag{17}$$

where  $t_u$  is defined as the diffuse transmittance of radiation from target to the top of atmosphere. Using eq. (10) to (16) in eq. (17), water-leaving radiance can be retrieved. Since the effects of water vapour absorption and

 
 Table 4.
 Percentage error between retrieved Rrs and in-situ of 20 stations in Chilika lagoon, Odisha

Latitude	Longitude	Percentage error
19.65043	85.2141	21.9
19.63206	85.20562	25.9
19.61239	85.19638	16.5
19.59511	85.18411	17.4
19.59252	85.14459	38.4
19.68882	85.26347	23.7
19.67716	85.26204	22.9
19.64474	85.19214	20.3
19.6219	85.17666	35.2
19.59511	85.18411	24.5
19.5813	85.17477	14.9
19.54673	85.15763	27.2
19.5325	85.13333	15.6
19.54726	85.14314	20.8
19.71154	85.37883	22.7
19.69111	85.21522	27.4
19.71824	85.28537	33.9
19.64544	85.185	15.4
19.67371	85.20633	17.0
19.69756	85.20774	28.1

#### SPECIAL SECTION: HYPERSPECTRAL IMAGING



Figure 10. (a, b) Sensor-level radiance and atmospherically corrected remote sensing reflectance respectively, over Chilika lagoon, Odisha acquired on 27 December 2015. (c, d) Spectrum of AVIRIS-NG radiance and retrieved reflectance spectra over Chilika lagoon for seven locations respectively.

gaseous absorption are negligible in the visible region of the electromagnetic spectrum, they were omitted in retrieving water-leaving reflectance.

AOD from pixel-to-pixel basis was retrieved and hyperspectral image of AVIRIS-NG was corrected for turbid and inland water bodies. Figure 10 *a* and *b* respectively, shows the uncorrected radiance and corrected reflectance data acquired over Chilika lagoon, Odisha on 27 December 2015. Sensor-level radiance spectra and remote sensing reflectance collected at seven different locations are shown in Figure 10 *c* and *d* respectively. Reflectance from Figure 10 *d* at seven different locations shows a peak around 0.55  $\mu$ m. The peak indicates that the water is green probably due to high phytoplankton concentration. Figure 11 shows a comparison of remotesensing reflectance retrieved from AVIRIS-NG data and *in-situ* data. Error estimation was done based on Carder's approach as follows

$$\text{RMSE} = \left[ \left( \sum_{n=1}^{n} (\log O - \log E)^2 / n \right)^{1/2}, \qquad (18)$$

Error = 
$$0.5[(10^{\text{RMSE}} - 1) + (1 - 10^{\text{RMSE}})].$$
 (19)

Table 4 shows the percentage error estimate using eq. (19).

#### Conclusion

In the present study, data-processing algorithms for retrieving critical atmospheric parameters, AOD and water vapour over land and ocean surfaces using hyperspectral data acquired by AVIRIS-NG flight over the Indian region are described. Further, atmospheric correction algorithm to generate surface reflectance, i.e. AVIRIS-NG level-2 product over land and turbid water surfaces is also described.

AVIRIS-NG-derived AOD shows good correlation with *in situ* sun-photometer measurements. Water vapour derived from AVRIS-NG data shows good agreement with *in situ* precipitable water vapour measurements at various locations. The AVIRIS-NG-derived surface reflectance spectrum over land surface shows significant compensation in spectral regions with strong gaseous absorption such water vapour near 820, 940 and 1140 nm, and oxygen-A near 760 nm. Moreover, in the visible to near-infrared spectral range significant improvement due to aerosol correction is seen. Also decrease in haziness of

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Figure 11. Comparison of AVIRIS-NG reflectance spectra with *in-situ* spectra at 20 different locations (dotted curve – AVIRIS-NG and solid curve – *in-situ* spectra).

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sensor-level image is observed after atmospheric correction. Over water surface, comparison of atmospherically corrected reflectance data of AVIRIS-NG with *in-situ* data of 20 stations shows fairly good match. Maximum error of 38.4% and minimum error of 14.9% are found.

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